Docket No.

275854US6PCT

# IN THE UNITED TABLES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Ryuya TACHINO, et al.

SERIAL NO:

10/519,697

GAU:

2627

FILED:

December 27, 2004

EXAMINER: NGUYEN, LINH THI

FOR:

OPTICAL RECORDING MEDIUM, INFORMATION PROCESSING APPARATUS USING SUCH RECORDING

MEDIUM, AND RECORDING METHOD FOR DATA

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 and Japanese Office Action. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
  - ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### .RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
  - A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

☐ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Bradley L. Lytle

Registration No. 40,073

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

SHEET 1 OF

DEC 0 7 2006 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) 275854US6PCT 10/519,697 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Ryuya TACHINO, et al. FILING DATE **GROUP** December 27, 2004 2627 **U.S. PATENT DOCUMENTS EXAMINER DOCUMENT** SUB FILING DATE DATE NAME CLASS INITIAL NUMBER CLASS IF APPROPRIATE AA ΑB AC AD ΑE AF AG ΑН ΑI ΑJ AK AL AM ΑN **FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT** COUNTRY DATE NUMBER YES NO 11-025459 01/29/1999 ΑO Japan Х 01/28/1997 Х AP 09-027127 Japan AQ 2001-250249 09/14/2001 Х Japan AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY ΑZ Additional References sheet(s) attached Examiner **Date Considered** \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.